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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/687,268	10/15/2003	Pankaj Agarwal	GP50029-1

GLAXOSMITHKLINE  
 Corporate Intellectual Property - UW2220  
 P.O. Box 1539  
 King of Prussia, PA 19406-0939



CONFIRMATION NO. 9955  
**FORMALITIES LETTER**



\*OC000000013438298\*

Date Mailed: 08/04/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

### SUMMARY OF FEES DUE:

09/26/2004 MBERHE 00000014 192570 10687268  
 01 FC:1051 130.00 DA

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
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P.O. Box 1450  
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*A copy of this notice **MUST** be returned with the reply.*

B4.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH  
THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-  
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22313-1450. 20231, ON

23 SEPTEMBER 2004

*William T. Han*

NAME OF PERSON MAILING DOCUMENT

*9/23/cy*

DATE



Attorney Docket No.: GP50029-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Agarwal, <i>et al.</i>	23 September 2004
Serial No.:	10/687,268	Group Art Unit: Unknown
Filed:	15 October 2003	Examiner: Unknown
For:	Novel Compounds	

Commissioner for Patents  
Mail Stop: Missing Parts  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This facsimile is in response to the "Notice to File Missing Parts" mailed on 04 August 2004, which indicates that the Oath or Declaration of the inventors for the subject patent application is not part of the filewrapper. A copy of the above notice is attached.

Also enclosed, please find a Declaration and Power of Attorney for the subject patent application, which has been fully executed by the inventors of the subject patent application in compliance with 37 C.F.R. 1.497(a), and (b).

Please charge the \$130.00 surcharge for late filing of the fully executed declaration to **Deposit Account No. 19-2570**. Please charge any additional requisite fees for the filing of the enclosed Declaration or credit any over-payment to Deposit Account No. 19-2570.

A copy of this form is enclosed.

Respectfully submitted,

*William T. Han*  
William T. Han  
Attorney for Applicants  
Registration No. 34,344

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